# Infrastructure for Diamond Open Access

From Library Publishing to the Foundation of a University Press

Gillian Daly - Scottish Universities Press
Gerhard Bissels - Schwyz University of Teacher Education

## Open Access Monograph Publishing Conditions and Goal

- OA monograph publishing lags behind article/journal publishing
- e.g. Swiss National Science Foundation (SNSF) introduced requirement for journal articles to be published OA in 2008, books in 2014
- CH: By 2024 all publications resulting from publicly funded research will have to be published OA

## Open Access Monograph Publishing - CH OAPEN-CH Study (2018)

- Project OAPEN-CH (findings consistent with OAPEN-NL and -UK):
  - Av. cost of OA monograph: CHF 13'800
  - OA leads to increased (international) reach
  - OA leads to increased use
  - OA has no negative impact on print sales

## Open Access Monograph Publishing - CH The Path to Diamond OA

- No OA university presses in Switzerland so far
- OA monograph publishing through commercial publishers via BPC
- SNSF 2022: BPCs for 252 books @CHF 14'380 = CHF 3'623'944.00)
- Some Swiss publishers offer OA option via BPCs (Karger, vdf)
- Some monograph publishing at university libraries, e.g. HOPE (Zurich) and BOP (Bern) - library provides hosting



a

Home Books V Journals V Publish with us V Resources V Statistics About us V UCL Research Collections

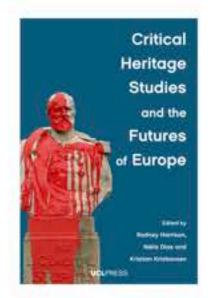


#### Open Access Academic Journals

Our open access journals cover a broad range of topics across the humanities and social sciences – including 5 open access journals focusing on education.

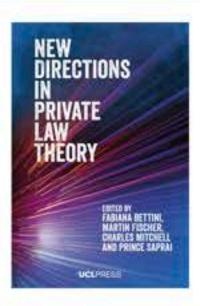
FIND OUT MORE

#### Latest publications



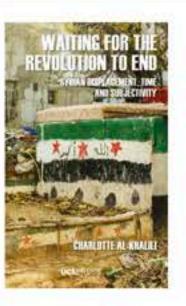
Critical Heritage Studies and the Futures of Europe

Cultural and natural heritage are central to 'Europe' and 'the



New Directions in Private Law Theory

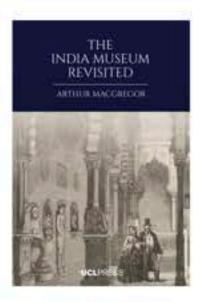
New Directions in Private Law Theory brings together some of the best new wor...



....

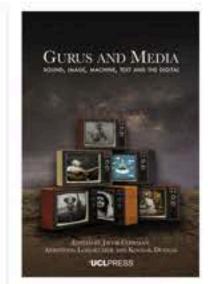
Waiting For The Revolution To End

Waiting for the Revolution to End explores the Syrian revolution through the ...



The India Museum Revisited

The museum of the East India Company formed, for a large part of the nineteen...



Gurus and Media

Gurus and Media is the first book dedicated to media and mediation in domains...

## Who we are

Established as the UK's first fully open access university press in 2015, UCL Press has fast become one of the leading open access scholarly publishers in the UK, publishing both traditional peer-reviewed scholarly works and innovative research outputs. We currently publish over **50** open access books a year in addition to **14** academic journals, and support UCL's successful student journals programme.

Based at UCL, one of the world's leading research universities, our primary outputs are scholarly monographs and edited collections, but we also publish textbooks, journals and other innovative research outputs. Our books have been accessed more than 5 million times by readers across the world.

UCL Press makes all its books and journals available in open access form to download freely in PDF form or read online anywhere in the world. They can be downloaded freely from UCL Press's website or from the many other platforms where they are distributed. UCL believes in the principles of open access as the best way to solve the world's global challenges. Find out more about open access here.

#### **UCL Press Vision and Mission**

#### Vision

For UCL Press to be recognised as one of the leading Open Access publishers in the world.

#### Mission

- To publish high-quality and cutting-edge research outputs
- To achieve maximum global reach and impact for its publications and achieve wide engagement with global audiences
- To support the field of academic publishing, through practice, training, research and sharing of data
- To represent a range of key academic research interests, with a particular focus on the Arts, Humanities and Social Sciences
- To support early career researchers and first book publishing
- To uphold a strong commitment to research and publication ethics
- To demonstrate a commitment to equality, diversity and inclusion in all its activities
- To promote the integration of research and education in the outputs of the Press
- Shares UCL's commitment to sustainability: https://www.ucl.ac.uk/sustainable/policyand-strategy

#### **UCL Press financial model**

UCL Press is subsidised to a large degree by UCL to provide open access publishing and it delivers significant impact for the university. Its books and journals have been downloaded in the millions around the world since it launched in 2015. UCL is committed to an Open Science future and believes that research should be made freely available to all. It therefore sees UCL Press's OA model as a valuable and necessary investment. UCL Press has income streams from print sales, BPCs, grants and consultancy, which offset some of the institutional investment.



### **Book Analytics Dashboard**

Explore download and view data associated with book usage

Access

Countries and Territories Reached

7.878.220

242

Published Open Access Titles

311

**Print Unit Sales Total** 

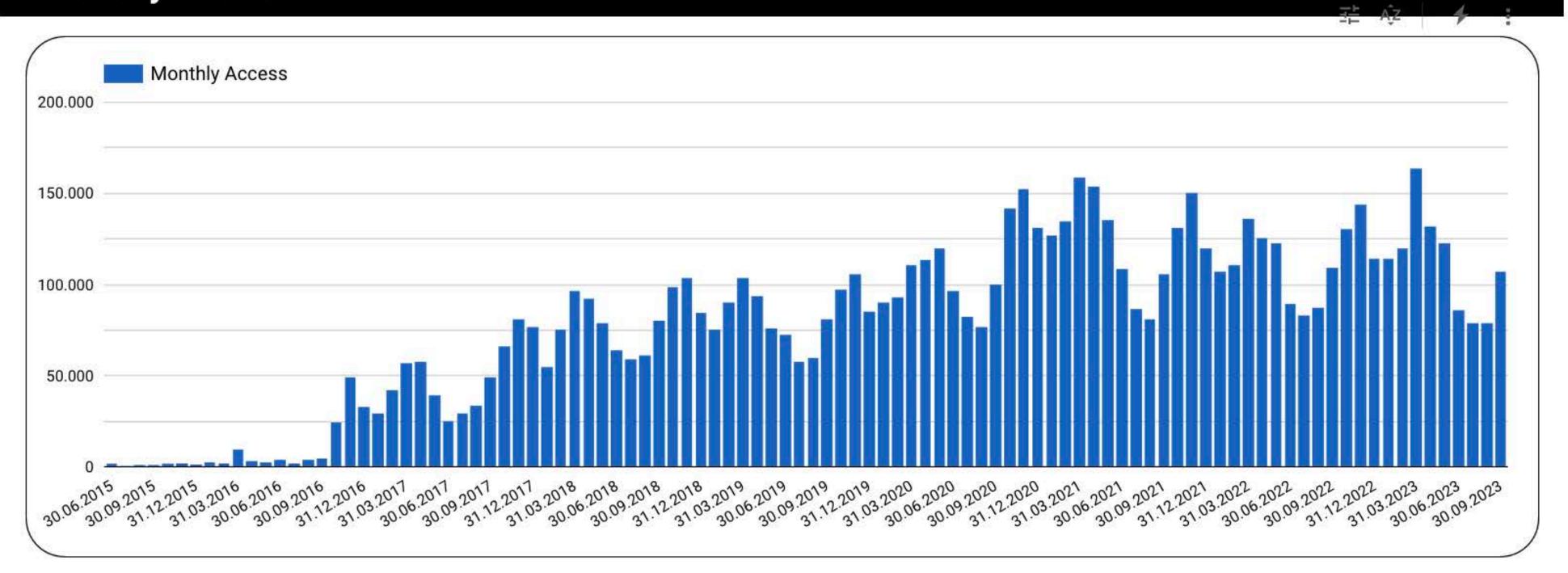
45.365

**Filter** 

Select Book Title/s

Reset Filters

#### **Monthly Access**



"I don't agree with the statements that open access monograph publishing is difficult. There have been challenges, but our experience is that it is quite straightforward if you have a clear workflow and you know what you are trying to achieve."

Paul Ayris, Pro-Vice-Provost for Libraries, in: THE Oct 3, 2019

## Community over Commercialisation

### A Community-Based Approach to Library Publishing

- UCL:
  - 16,000 staff
  - 50,000 students from 150 countries
- Solutions for smaller HEIs?
  - UP as a Service
  - UP as a consortium

## Community over Commercialisation

### A Community-Based Approach to Library Publishing

- OA Librarians, Swiss universities of teacher education and Swiss universities of applied science (meeting today):
  - Explore possibilities of setting up a shared university press

Home

About

Publish

**FAQs** 

News

Resources

Contact

#### A fully open access and not-for-profit press owned and managed by Scotland's University Libraries









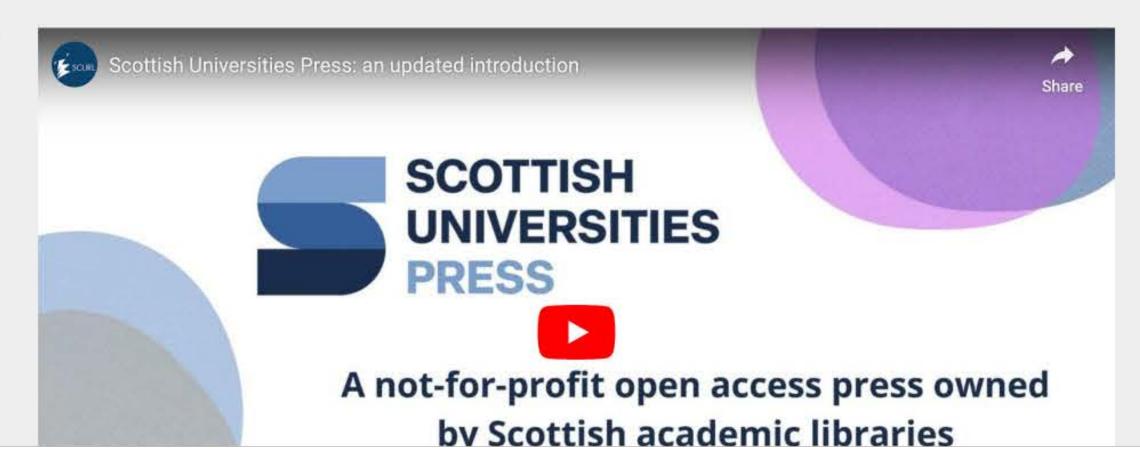


<u>SCURL</u> member libraries are collaborating in an exciting new venture to establish a fully open access and not-for-profit publishing press that is owned and managed by the participating HEIs.

SUP will provide a clear and cost-effective route for researchers at Scottish HEIs to make their work freely available to a global audience, responding to upcoming changes to REF, UKRI and other funder policies around open access books.

Authors can expect a full-service publishing experience with open communication and transparent processes from peer review through to promotion. SUP is community-led and aims to be responsive to the needs of the academic community in Scotland that it serves.

SUP is now open for book proposals. More information can be found here.





Infrastructure for Open Access: the Foundation of SUP

Gillian Daly, SUP Press Manager & SCURL Executive Officer



#### SUP OFFER

- An online publishing platform owned and managed by Scotland's university libraries.
- Publishing work from academics at participating institutions.
- All subject areas covered.
- We publish fully open access monographs with CC-BY licences.
- We will offer a paid for print on demand option.
- Full publishing experience from peer review through to promotion.



#### **SUP AIMS**

- To provide a clear and cost-effective route for researchers at Scottish HEIs to make their work freely available to a global and more diverse audience.
- To meet the Open Access requirements set by research funders –
   UKRI mandating OA for funded monographs from Jan 2024.
- Explore alternative approaches to academic publishing that have the needs of the Scottish academic community at the core.

#### START-UP TIMELINE

2019

2020

2021

2022

2023

#### **RESEARCH**

Proof of Concept report published in August

#### **CONSULTATION**

Partnership formed across 18 institutions

#### **INFRASTRUCTURE**

Establishing governance, technical infrastructu re, creating project plan

#### **DELIVERY**

Delivering the project plan, forming Editorial Board, Creating policies, processes and workflows

#### **SCALING UP**

Calls for content, CIC governance,
Publishing monographs,
developing future plans



#### **DELIVERY MILESTONES**

July 2022	Editorial Board appointed
August	Website and social media launched
September	Peer Review Policy developed
October	Financial review concluded – book production charge set
November	Content strategy finalised
December	FAQ webinar for Scottish HEIs
January 2023	Time-limited call deadline
February	Open call launch
March	Governance – Community Interest Company structure agreed
April	Partnership development – OIPA launch at UKSG Conference
May	Editorial Board review meeting
June	Phase 2 of the business plan approved at SCURL AGM



#### **OPERATIONAL**

- The running costs of the press are met by participating institutions.
- Subsidised model contributes to a low book production charges.
- The press will operate on a not-for-profit basis and any surpluses will be reinvested in the press for the benefit of all partners.
- Locally hosted online platform give SUP greater control over direction of the press.
- Outsourcing book production services to an experienced professional company.
- A Management Board comprising representatives from all member institutions provides strategic direction.
- An Editorial Board will review proposals, and contribute to the development and ongoing management of the peer review process.

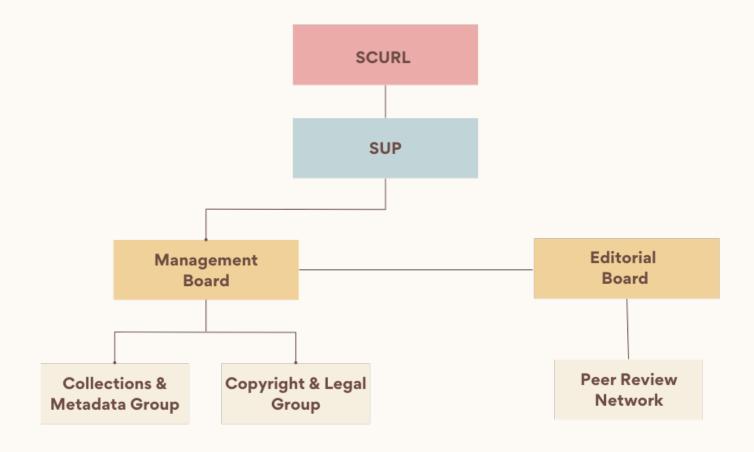


#### **EDITORIAL**

- Importance of a rigorous peer review process and quality output
- Open and transparent approach:
  - Openness in recruiting our Editorial Board
    - Discipline coverage
    - Range of institutions and locations
    - Places for ECRs
  - Shared ownership of SUP key to our identity processes built on experiences of academic staff



#### **GOVERNANCE**



#### SCALING UP TIMELINE

**SUMMER 2023** 

**AUTUMN 2023** 

**WINTER 2023** 

**SPRING 2024** 

**SUMMER 2024** 

#### **PHASE 2 APPROVED**

Proposal approved at SCURL AGM

#### **COMMISSIONING**

Recruitment of
Commissioning Editor
to support proactive
commissioning of
content

#### CONTENT STRATEGY REVIEW

Management Board to begin planning for expansion to different content types

#### PRODUCTION & PROMOTION

First titles move to production and marketing activity launches

#### **SCURL AGM**

Content Strategy
Review to be approved



#### **DELIVERING OUR AIMS**

OPPORTUNITIES	CHALLENGES
SUP infrastructure provides a complete publishing solution for all types of content	Funding the transition to Open Access – limitations of library budgets
Potential cost savings for institutions	Prestige of a new press and the perception of Open Access - 'REFability'
Raising the profile of libraries within institutions	Raising awareness of SUP and Open Access developments in general
Contributing to a fairer and more equitable publishing ecosystem	Keeping academics at the centre



#### CONTACT

g.daly@nls.uk

info@sup.ac.uk

https://sup.ac.uk



**Chair:** Hannah Whaley, Director of Library Services, University of Dundee



Vice Chair: Jennifer Louden, Deputy Director of Libraries and Museums, University of St Andrews



**Press Manager:** Gillian Daly, Executive Officer, SCURL



**Publishing Officer:** Dominique Walker